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# Annals of Combinatorics

# 4*n* – 10

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**Abstract.** We show that the maximal number  $K_2(n)$  of splits in a 2-*compatible* split system on an *n*-set is exactly 4n - 10, for every n > 3.

Since  $K_2(n) = CF_3(n)/2$  where  $CF_3(n)$  is the maximal number of members in any 3-crossfree collection of (proper) subsets of an *n*-set, this gives a definitive answer to a question raised in 1979 by A. Karzanov who asked whether  $CF_3(n)$  is, as a function of *n*, of type O(n).

Karzanov's question was answered first by P. Pevzner in 1987 who showed  $K_2(n) \le 6n$ , a result that was improved by T. Fleiner in 1998 who showed  $K_2(n) \le 5n$ .

The argument given in the paper below establishes that the even slightly stronger inequality  $K_2(n) \le 4n - 10$  holds for every n > 3; the identity  $K_2(n) = 4n - 10$  (n > 3) then follows in conjunction with a result from a previous paper that implies  $K_2(n) \ge 4n - 10$ .

In that paper, it was also mentioned that — in analogy to well known results regarding maximal *weakly compatible* split systems — 2-compatible split systems of maximal cardinality 4n - 10 should be expected to be *cyclic*. Luckily, our approach here permits us also to corroborate this expectation. As a consequence, it is now possible to generate all 2-compatible split systems on an *n*-set (n > 3) that have maximal cardinality 4n - 10 (or, equivalently, all 3-cross-free set systems that have maximal cardinality 8n - 20) in a straight forward, systematic manner.

*Keywords*: 3-cross-free set systems, k-crossing set systems, laminar sets, cuts, cut multicommodity flow problem, multiflow locking, split systems, 2-compatible split systems, (in)compatible splits, cyclic split systems, weakly compatible split systems

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## 1. Introduction

In this paper, we study a problem raised by A. Karzanov in 1979, viz. his conjecture that the cardinality of any 3-cross-free collection of subsets of an *n*-set is bounded linearly by n (cf. [4]).

As this conjecture can be rephrased very conveniently using the language of *split theory* (cf. [1]), we begin by briefly recalling some of the basic terminology of that theory: A *split*  $S = \{A, B\}$  of a set X is a bipartition of X into two sets A, B; in particular, we have  $B = \overline{A} := X - A$ . We denote by  $\mathbf{S}(X)$  the set of all splits of X; any subset  $\mathbf{S}$  of  $\mathbf{S}(X)$  is called a *split system* (defined on X). Two splits S, S' of a set X are called *compatible* if there exist subsets  $A \in S$  and  $A' \in S'$  with  $A \cap A' = \emptyset$ , otherwise S and S' are called *incompatible*. We call a split system  $\mathbf{S} \subseteq \mathbf{S}(X)$  2-compatible if it does not contain an *incompatible triple* of splits, that is, a subset of 3 pairwise incompatible splits. More generally, a split system  $\mathbf{S} \subseteq \mathbf{S}(X)$  is defined to be *k*-compatible if it does not contain a subset of k + 1 pairwise incompatible splits.

At the end of the seventies, due newly discovered results on multicommodity flow problems such as those appearing in [4,5], it became of increasing interest to determine upper bounds for the cardinality of a *k*-compatible split system  $\mathbf{S} \subseteq \mathbf{S}(X)$ , defined on an *n*-set *X*. It is well known that every maximal 1-compatible split system contains exactly 2n - 3 distinct splits, and it was observed by M. Lomonosov that

$$\#\mathbf{S} \le n + \frac{kn}{2} + \frac{kn}{3} + \dots + \frac{kn}{\lfloor n/2 \rfloor} < n(1 + k\log_2(n))$$

always holds for a *k*-compatible split system defined on an *n*-set (see [2] for the simple proof of this inequality).

A. Karzanov conjectured that there is some universal constant *c* so that  $\#\mathbf{S} \leq cn$  holds for any 2-compatible split system **S** defined on an *n*-set *X*. In [6], P. Pevzner<sup>§</sup> showed  $\#\mathbf{S} \leq 6n$ , a result that was subsequently improved to  $\#\mathbf{S} \leq 5n$  by T. Fleiner in [3]. All of these results were stated in terms of *3-cross-free families*; however, they can easily be translated into the terminology of split systems, and vice-versa.

In [2], a simple construction was given, for each *n*-set with n > 3, that yields maximal 2-compatible split systems **S** with #**S** = 4n - 10 that are, in addition, *cyclic* i.e. there exists a bijection

$$\phi\colon [n]:=\{1,\ldots,n\}\to X \quad (n:=\#X)$$

such that **S** is contained in the split system  $S(\phi)$  consisting of all splits *S* of the form

$$\{\{\phi(i),\phi(i+1),\ldots,\phi(j-1)\},\overline{\{\phi(i),\phi(i+1),\ldots,\phi(j-1)\}}\},\$$

with  $1 \le i < j \le n$ . It was also shown there that every maximal 2-compatible *cyclic* split system **S** can be constructed in this way and, hence, must have cardinality 4n - 10. Based on these observations, it was then conjectured that — in analogy to well known results regarding maximal weakly compatible split systems (cf. [1]) — *every* 

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<sup>§</sup> In [3], a possible flaw in Pevzner's argument — probably due to poor translation — is pointed out.

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2-compatible split system of maximal cardinality should be of this form<sup>§</sup>. And it was observed in this context that indeed — as a consequence of results obtained in [1] — this is true in case for  $4 \le \#X \le 5$  thus "explaining" the formula 4n - 10 as the "linear extrapolation" of the values obtained for n = 4 and n = 5.

The following two theorems establish this conjecture from [2], thus providing — in conjunction with results from [2] — a definitive answer to A. Karzanov's question described above:

**Theorem 1.1.** The cardinality of any 2-compatible split system defined on a set X of cardinality #X := n > 3 is bounded by 4n - 10.

**Theorem 1.2.** Every 2-compatible split system **S** defined on a set X of cardinality #X := n > 3 is cyclic provided it has maximal cardinality #S = 4n - 10.

Finally, we want to point out that the key fact on which the proof of Theorem 1.1 is based, is the (non-)existence of certain configurations of splits in maximal 2-compatible split-systems (see Section 3). The discovery of these configurations was motivated, in part, by the existence of similar configurations in 3-compatible cyclic split systems (cf. [2, Section 5]).

#### 2. Preliminary Results

We begin this section by introducing some further notation from split theory. Suppose that *X* is a finite set. For every proper subset *A* of *X*, we denote by  $S_A$  the split  $S_A := \{A, \overline{A}\}$ , induced by *A*. Whenever a subset *A* of *X* consists of one element  $x \in X$  only, we may also write 'x' instead of '{x}' as long as no confusion can arise. In particular, we will write  $\overline{x}$  instead of  $\overline{\{x\}}$  and  $S_x = \{x, \overline{x}\}$  instead of  $S_{\{x\}} = \{\{x\}, \overline{\{x\}}\}$ , for every  $x \in X$ . For every element  $x \in X$  and every split  $S \in \mathbf{S}(X)$ , we denote by S(x) the subset, *A* or *B*, in *S* that contains *x*, and by  $\overline{S}(x)$ , we denote its complement  $\overline{S}(x) := \overline{S(x)} = X - S(x)$ .

Now, given an element  $x \in X$ , a pair of splits  $S_1, S_2 \in \mathbf{S}(X)$  is called an *x-pair* if  $S_1(x) \cap S_2(x) = \{x\}$  and  $S_1(x) \cup S_2(x) = X$  hold. Note that every *x*-pair consists of two distinct compatible splits. Moreover, a pair  $S_1, S_2$  of splits from  $\mathbf{S}(X)$  forms an *x*-pair if and only if there exists a split  $S = \{A, B\} \in \mathbf{S}(\overline{x}) = \mathbf{S}(X - x)$  — uniquely determined by  $S_1$  and  $S_2$  — so that

$$\{S_1, S_2\} = \widehat{S} := \{\{A \cup x, B\}, \{A, B \cup x\}\}\$$

holds. Next, given a split system  $S \subseteq S(X)$  and a subset *Y* of *X*, define the *restriction*  $S|_Y$  of *S* onto *Y* by

$$S|_{Y} := \{\{A, B\} \in S(Y) : \{A, B\} = \{C \cap Y, D \cap Y\} \text{ for some } \{C, D\} \in S\},\$$

and note that, for every  $S \subseteq S(X)$  and  $x \in X$ , the number

 $#(\mathbf{S} - \{S_x\}) - #\mathbf{S}|_{\overline{x}}$ 

<sup>&</sup>lt;sup>¶</sup> A split system  $\mathbf{S} \subseteq \mathbf{S}(X)$  is called *weakly compatible* if there exist no four elements  $x_0, x_1, x_2, x_3 \in X$  and three splits  $S_1, S_2, S_3 \in \mathbf{S}$  with " $S_i(x_0) = S_i(x_j) \iff i = j$ " for all  $i, j \in \{1, 2, 3\}$ .

coincides exactly with the cardinality  $p_x(\mathbf{S}) := \#\mathbf{S}^x$  of the set  $\mathbf{S}^x$  consisting of all *x*-pairs in **S**. This follows as  $\{A - x, B - x\}$  is a split in  $\mathbf{S}(\overline{x})$  for every split  $S \in \mathbf{S}(X) - \{S_x\}$ , and we have  $\{A - x, B - x\} = \{A' - x, B' - x\}$  for two distinct splits  $\{A, B\}, \{A', B'\}$  in  $\mathbf{S}(X)$  if and only if these two splits form an *x*-pair.

Hence, to prove Theorem 1.1, all we need to show is that there exists, for every 2-compatible split system  $\mathbf{S} \subseteq \mathbf{S}(X)$ , some  $x \in X$  with  $p_x(\mathbf{S}) \leq 3$  because, by induction with respect to #X, this would imply

$$#\mathbf{S} \le #\{S_x\} + #\mathbf{S}|_{\overline{x}} + p_x(\mathbf{S})$$
  
$$\le 1 + (4(#X - 1) - 10) + 3$$
  
$$= 4#X - 10.$$

Thus, in order to prove Theorem 1.1, we need to study *x*-pairs in 2-compatible split systems. To this end, we begin by noting that for any two splits  $S, S' \in \mathbf{S}(X)$ , the following assertions are equivalent:

- (i) S and S' are incompatible,
- (ii)  $A \in S$  and  $A' \in S'$  imply  $A \cap A', \overline{A} \cap A', A \cap \overline{A'}, \overline{A} \cap \overline{A'} \neq \emptyset$ ,
- (iii)  $A \in S$  and  $A' \in S'$  imply  $A \cap A' \neq \emptyset$ ,
- (iv) there is some  $A \in S$  that is neither contained in nor contains any  $A' \in S'$ ,
- (v) no  $A \in S$  is either contained in or contains any  $A' \in S'$ .

Moreover, defining two collections of splits  $\mathbf{S}', \mathbf{S}'' \subseteq \mathbf{S}(X)$  to be *(in)compatible* if any two splits S', S'' with  $S' \in \mathbf{S}'$  and  $S'' \in \mathbf{S}''$  are (in)compatible, we observe

**Lemma 2.1.** If  $x \in X$ , and U and V are two distinct splits from  $\mathbf{S}(\overline{x})$ , then the two corresponding x-pairs  $\widehat{U}$  and  $\widehat{V}$  are incompatible if U and V are incompatible while, otherwise, there exists exactly one pair  $U_1$ ,  $V_1$  of incompatible splits with  $U_1 \in \widehat{U}$  and  $V_1 \in \widehat{V}$ .

In particular, every split S in the restriction  $\mathbf{S}|_{\overline{x}}$  of any 2-compatible split system S that contains the two x-pairs  $\widehat{U}$  and  $\widehat{V}$  must be compatible with U or with V.

*Proof.* The first statement is obvious. To prove the second, assume  $U = \{A, B\}$ ,  $V = \{A', B'\}$ , and  $A \cap A' = \emptyset$ . Then, we have  $\widehat{U} = \{\{A \cup x, B\}, \{A, B \cup x\}\}$  and  $\widehat{V} = \{\{A' \cup x, B'\}, \{A', B' \cup x\}\}$  and, clearly, of these four splits only  $\{A \cup x, B\}$  and  $\{A' \cup x, B'\}$  are incompatible.

As a consequence of this lemma, we see that two distinct *x*-pairs can never be compatible; if they are not incompatible, we call them *semi-compatible*.

**Proposition 2.2.** Suppose that  $\mathbf{S} \subseteq \mathbf{S}(X)$  is a 2-compatible split system, and that  $\mathcal{U}, \mathcal{V}, \mathcal{W} \subseteq \mathbf{S}$  are three distinct x-pairs for some  $x \in X$ . If  $\mathcal{U}$  is semi-compatible with  $\mathcal{V}$ , and  $\mathcal{V}$  is semi-compatible with  $\mathcal{W}$ , then  $\mathcal{U}$  is semi-compatible with  $\mathcal{W}$ .

*Proof.* Choose splits  $U, V, W \in \mathbf{S}(\overline{x})$  with  $\mathcal{U} = \widehat{U}, \mathcal{V} = \widehat{V}$ , and  $\mathcal{W} = \widehat{W}$ , and assume that  $\mathcal{U}$  and  $\mathcal{W}$  are incompatible. Choose  $A \in U$  and  $A' \in V$  with  $A \cap A' = \emptyset$ , and choose  $A'' \in W$  with  $A'' \subseteq A'$  or  $A' \subseteq A''$ . Then,  $A' \subseteq A''$  must hold because, otherwise, we would have  $A'' \cap A \subseteq A' \cap A = \emptyset$ . However, in this case, the three splits  $S_{A \cup x} = \{A \cup x, \overline{A \cup x}\} \in \mathcal{U}$ ,  $S_{A''} = \{A'', \overline{A''}\} \in \mathcal{W}$ , and  $S_{A' \cup x} = \{A' \cup x, \overline{A' \cup x}\} \in \mathcal{V}$  would form an incompatible triple of splits in  $\mathbf{S}$ .

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Proposition 2.2 in turn implies

**Proposition 2.3.** If  $\mathbf{S} \subseteq \mathbf{S}(X)$  is a 2-compatible split system and if  $x \in X$  is any element of X, then either there exist exactly two x-pairs that are incompatible, or any two x-pairs are semi-compatible and there exists a sequence  $S_1 = \{A_1, B_1\}, S_2 = \{A_2, B_2\}, \ldots, S_k = \{A_k, B_k\}$  of splits of  $\overline{x}$  with  $A_1 \subset A_2 \subset \cdots \subset A_k$  (and hence  $B_1 \supset B_2 \supset \cdots \supset B_k$ ) so that

$$\mathbf{S}^{x} = \{ S_{i} : i = 1, \dots, k \}$$

holds.

*Proof.* If  $\mathcal{U}, \mathcal{V}$ , and  $\mathcal{W}$  were three distinct *x*-pairs from **S** so that  $\mathcal{U}$  and  $\mathcal{V}$  are incompatible, then either  $\mathcal{V}$  and  $\mathcal{W}$  or  $\mathcal{U}$  and  $\mathcal{W}$  would have to be incompatible too, in view of Proposition 2.2. So, assume that  $\mathcal{V}$  and  $\mathcal{W}$  were incompatible. Choose  $U_1 \in \mathcal{U}$  and  $W_1 \in \mathcal{W}$  according to Lemma 2.1 so that  $U_1$  and  $W_1$  are incompatible. Then,  $U_1, W_1, V$  would form an incompatible triple of splits in **S**, for every split  $V \in \mathcal{V}$ , which is clearly a contradiction.

So, assume now that all *x*-pairs in  $\mathbf{S}^x$  are semi-compatible. All we need to show then is that, given some  $A \subseteq \overline{x}$  with  $S_A$ ,  $S_{A \cup x} \in \mathbf{S}^x$ , the set  $\mathcal{A}$  of subsets  $A' \subseteq \overline{x}$  with  $\widehat{S}_{A'} \in \mathbf{S}^x$  and  $A' \subseteq A$  or  $A \subseteq A'$  is linearly ordered. If not, there would exist  $A_1, A_2 \in \mathcal{A}$ with  $A_1 \cap A_2 \neq A_1, A_2$  and either  $A_1, A_2 \subset A$  or  $A \subset A_1, A_2$ .

In the first case, we must have  $A_1 \cap A_2 = \emptyset$ , and  $S_{A_1 \cup x} = \{A_1 \cup x, \overline{A_1 \cup x}\}$ ,  $S_{A_2 \cup x} = \{A_2 \cup x, \overline{A_2 \cup x}\}$  and  $S_A = \{A, \overline{A}\}$  would form an incompatible triple of splits in **S** because  $S_{A_1 \cup x}$  is clearly not compatible with  $S_{A_2 \cup x}$ , and *A* neither contains — nor is contained in — either of  $A_1 \cup x, \overline{A_1 \cup x}, A_2 \cup x$ , or  $\overline{A_2 \cup x}$ .

In the second case, we must have  $A_1 \cup A_2 = \overline{x}$ , and  $S_{A_1} = \{A_1, \overline{A_1}\}$ ,  $S_{A_2} = \{A_2, \overline{A_2}\}$ , and  $S_{A \cup x} = \{A \cup x, \overline{A \cup x}\}$  would form an incompatible triple of splits in **S** because, now,  $S_{A_1}$  and  $S_{A_2}$  are incompatible and  $A \cup x$  neither contains — nor is contained in — either of  $A_1, \overline{A_1}, A_2$ , or  $\overline{A_2}$ .

It follows from this proposition and the discussion above that no 2-compatible split system  $S \subseteq S(X)$  with  $\#S \ge 4\#X - 10$ ,  $\#X \ge 5$ , can contain a pair of incompatible *x*-pairs, for any  $x \in X$  provided Theorem 1.1 holds for 2-compatible split systems defined on an *n*-set with n < #X.

#### 3. Proof of Theorem 1.1

It is now straight forward to establish Theorem 1.1 by induction noting that, for obvious reasons, it holds for  $4 \le \#X \le 5$ . So, assume #X > 5 and that our theorem holds for 2-compatible split systems defined on an *n*-set with n < #X. We consider quadruples x, A, B, C consisting of an element  $x \in X$  and a partition  $\{A, B, C\}$  of  $\overline{x}$  into three disjoint, non-empty sets so that  $S_{A\cup x}$ ,  $S_{A\cup B} = S_{C\cup x}$ , and  $S_{A\cup B\cup x} = S_C$  all belong to **S**. Clearly, such quadruples must exist in case  $\#\mathbf{S} \ge 4\#X - 10$ , because any pair  $\{\widehat{A_1, B_1}\}$  and  $\{\widehat{A_2, B_2}\}$  of semi-compatible *x*-pairs  $\{\widehat{A_1, B_1}\}, \{\widehat{A_2, B_2}\} \in \mathbf{S}^x$  with, say,  $A_1 \subset A_2$  gives rise to such a quadruple, viz.  $x, A_1, A_2 - A_1, B_2$ .

Among all such quadruples, choose one, say  $x_0, A_0, B_0$ , and  $C_0$ , for which  $C_0$  is maximal.

Note — for further use in the next section — that  $2\#C_0 \ge \#X - 1$  must hold in case  $\#\mathbf{S} \ge 4\#X - 10$  because, given any triple  $S_1 = \{A_1, B_1\}$ ,  $S_2 = \{A_2, B_2\}$ , and  $S_3 = \{A_3, B_3\}$  of  $\overline{x_0}$ -splits with  $A_1 \subset A_2 \subset A_3$  and  $\widehat{S_1}, \widehat{S_2}, \widehat{S_3} \in \mathbf{S}^{x_0}, A_2$  as well as  $B_2$  could serve as  $C_0$  and, because  $\#A_2 + \#B_2 = \#X - 1$ , the larger one of these two candidates for  $C_0$  must have cardinality at least  $\frac{1}{2}(\#X - 1)$ . So, the maximal  $C_0$  must also have at least this cardinality that, in view of #X > 5, is at least  $2\frac{1}{2}$ . So,  $\#C_0 > 2$  must hold.

Next, consider an arbitrary element  $a_0 \in A_0$ . Choose  $S_1 = \{A_1, B_1\}, S_2 = \{A_2, B_2\}, \dots, S_k = \{A_k, B_k\}$  in  $\mathbf{S}(\overline{a_0})$  with  $A_1 \subset A_2 \subset \dots \subset A_k$  and

$$\mathbf{S}^{a_0} = \{ \widehat{S}_i : i = 1, \dots, k \}.$$

Then all we need to establish is that  $k \leq 3$  must hold.

To this end, we observe first that  $B_i \cap (A_0 \cup B_0 \cup x_0) \neq \emptyset$  must hold for all i = 1, ..., k because, otherwise, we would have  $B_i \subseteq C_0$  and

$$A_0 \cup B_0 \cup x_0 = \overline{C_0} \subseteq \overline{B_i} = a_0 \cup A_i,$$

that is,  $A_0 \subseteq a_0 \cup A_i$ ,  $B_0 \subseteq A_i$ , and  $x_0 \in A_i$ ; so the three splits

$$S_{A_i} = \{A_i, B_i \cup a_0\}, S_{A_0 \cup B_0} = \{A_0 \cup B_0, C_0 \cup x_0\}, S_{A_0 \cup x_0} = \{A_0 \cup x_0, B_0 \cup C_0\}$$

would form an incompatible triple of splits in S in view of

$$B_0 \subseteq A_i \cap (A_0 \cup B_0),$$
$$x_0 \in A_i \cap (C_0 \cup x_0),$$
$$a_0 \in (B_i \cup a_0) \cap (A_0 \cup B_0),$$
$$B_i \subseteq (B_i \cup a_0) \cap (C_0 \cup x_0),$$
$$x_0 \in A_i \cap (A_0 \cup x_0),$$

$$B_0 \subseteq A_i \cap (B_0 \cup C_0),$$
$$a_0 \in (B_i \cup a_0) \cap (A_0 \cup x_0),$$

and

$$B_i \subseteq (B_i \cup a_0) \cap (B_0 \cup C_0).$$

So, we must have

$$B_i \cap (A_0 \cup B_0 \cup x_0) \neq \emptyset$$

for all i = 1, ..., k. By symmetry, we must also have

$$A_i \cap (A_0 \cup B_0 \cup x_0) \neq \emptyset$$

for all i = 1, ..., k.

Now, if  $B_{k-1} \cap C_0 = \emptyset$  were to hold, the quadruple  $a_0, B_k, B_{k-1} \cap A_k, A_{k-1}$  would form a quadruple of the kind considered above, with  $C_0 \subseteq A_{k-1}$ . So, by our choice of  $C_0$ , we must have  $A_{k-1} = C_0$  or  $B_{k-1} \cap C_0 \neq \emptyset$ . However, if  $A_{k-1} = C_0$ , then  $S_{A_{k-1} \cup a_0} = \{C_0 \cup A_{k-1} \cup A_{k-1$ 

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 $a_0, x_0 \cup (A_0 - a_0) \cup B_0$ ,  $S_{C_0 \cup x_0} = \{C_0 \cup x_0, A_0 \cup B_0\}$ , and  $S_{A_0 \cup x_0} = \{A_0 \cup x_0, B_0 \cup C_0\}$ would form an incompatible triple of splits in **S**. So, we must have  $B_{k-1} \cap C_0 \neq \emptyset$  and, hence,  $B_i \cap C_0 \neq \emptyset$  for all i = 1, ..., k - 1. By symmetry, also  $A_2 \cap C_0 \neq \emptyset$  must hold and, hence,  $A_i \cap C_0 \neq \emptyset$  for all i = 2, ..., k.

Finally, we note that  $i \in \{2, ..., k\}$  implies  $A_{i-1} \cap C_0 = \emptyset$  or  $B_i \cap C_0 = \emptyset$  because the three splits

$$S_{B_{i-1}} = S_{A_{i-1}\cup a_0} = \{A_{i-1}\cup a_0, B_{i-1}\},\$$
  
$$S_{A_i} = S_{B_i\cup a_0} = \{A_i, B_i\cup a_0\},\$$

and

$$S_{C_0} = \{C_0, A_0 \cup B_0 \cup x_0\}$$

would form an incompatible triple. In view of  $A_2 \cap C_0 \neq \emptyset$  and  $B_{k-1} \cap C_0 \neq \emptyset$ , this implies  $B_3 \cap C_0 = \emptyset$  as well as  $A_{k-2} \cap C_0 = \emptyset$  and hence, in particular,  $k \leq 3$  as claimed.

### 4. Proof of Theorem 1.2

To establish Theorem 1.2, we also proceed by induction with respect to #X, noting as above that — in view of the results obtained in [1] — Theorem 1.2 clearly holds in case  $4 \le \#X \le 5$ . So, using the notations and arguments from the previous section, we must have k = 3,  $B_3 \cap C_0 = \emptyset$ , and  $A_1 \cap C_0 = \emptyset$ . Moreover, assuming that — without loss of generality —  $x_0 \in A_2$  holds, we may assume that — with  $n := \#X - 1 \ge 5$  — we have  $\overline{a_0} = [n] = \{1, ..., n\}$  and  $\mathbf{S}' := \mathbf{S}|_{\overline{a_0}}$  is a subset of

$$\mathbf{S}^* := \{\{\{i, i+1, \dots, j-1\}, [n] - \{i, i+1, \dots, j-1\}\}: 1 \le i < j \le n\},\$$

that is, it is a subset of the standard cyclic split system on  $\{1, ..., n\}$ .

In addition, we may assume that

$$x_0 = n, A_0 - a_0 = \{1, \dots, i_0 - 1\}, B_0 = \{i_0, \dots, j_0 - 1\}, C_0 = \{j_0, \dots, n - 1\},\$$

and

$$B_2 = \{l_0, l_0 + 1, \dots, k_0 - 1\}$$

holds for some  $i_0, j_0, l_0, k_0 \in \{1, ..., n\}$  with  $1 \le i_0 < j_0 < n-1$  and  $1 \le l_0 < j_0 < k_0 < n$ . And, using these notations, we must also have

$$A_1 \subseteq A_2 \cap \overline{C_0} = \{n\} \cup \{1, \dots, l_0 - 1\}$$

and

$$B_3 \subseteq B_2 \cap \overline{C_0} = \{l_0, \ldots, j_0 - 1\}$$

We now recall from [2] that every cyclic 2-compatible split system  $\mathbf{S}' \subseteq \mathbf{S}^*$  with  $\mathbf{S}' = 4n - 10$  contains all splits of the form  $\{\{i, i+1\}, [n] - \{i, i+1\}\}$  (i = 1, ..., n) and that every set *C* with  $\{C, [n] - C\} \in \mathbf{S}'$  and  $\#C \ge 3$  contains a subset *C'* with #C' = 3 and  $\{C', [n] - C'\} \in \mathbf{S}'$ .

Consequently, there is some  $m_0 \in C_0$  with  $m_0 + 2 \le n - 1$  and the splits

$$\{\{m_0, m_0+1, m_0+2\}, [n] - \{m_0, m_0+1, m_0+2\}\},\$$

and

$$\{\{m_0+1, m_0+2\}, [n]-\{m_0+1, m_0+2\}\}$$

are all contained in S'. Clearly, the corresponding splits in S all must be of the form  $S_{\{m_0,m_0+1,m_0+2\}}$ ,  $S_{\{m_0,m_0+1\}}$ , and  $S_{\{m_0+1,m_0+2\}}$  because every split of the form  $S_{C\cup a_0}$ ( $\emptyset \subset C \subseteq C_0$ ) forms an incompatible triple of splits together with  $S_{A_1\cup a_0}$  and  $S_{B_3\cup a_0}$ in view of  $B_3 \cap C_0 = \emptyset$  and  $A_1 \cap C_0 = \emptyset$ . This, however, provides us with a quadruple  $m_0$ ,  $\{m_0+1\}$ ,  $\{m_0+2\}$ ,  $X - \{m_0, m_0+1, m_0+2\}$  of the kind considered in the beginning of Section 3 and, hence, it implies  $\#A_0 = \#B_0 = 1$ , that is,  $A_0 = \{a_0\}$ ,  $i_0 = 1, j_0 = 2, l_0 = 1, A_1 = \{n\}$ , and  $B_0 = B_3 = \{1\}$ .

With  $a_0 := 0$ , it is now easy to see that **S** must be contained in the cyclic split system

$$\{\{\{i, i+1, \dots, j-1\}, \{0, \dots, i-1\} \cup \{j, \dots, n\}\}: 0 \le i < j \le n\}$$

Indeed, both of the two extensions of  $\{A_1, B_1\}, \{A_2, B_2\}$ , and  $\{A_3, B_3\}$  clearly belong to this split system in view of  $n \in A_1 \subseteq A_2 \subseteq A_3$  and  $1 \in B_3 \subseteq B_2 \subseteq B_1$ . And the unique extension  $S \in \mathbf{S}$  of every other split

$$\{\{i, i+1, \dots, j-1\}, \{[n] - \{i, i+1, \dots, j-1\}\} \quad (1 \le i < j \le n)$$

in S' must also be of this form: This is trivially so in case i = 1. And in case i > 1, we cannot have

$$\{\{0\} \cup \{i, i+1, \dots, j-1\}, [n] - \{i, i+1, \dots, j-1\}\} \in \mathbf{S}$$

because this split forms an incompatible triple together with

$$\{\{0,1\},\{2,\ldots,n\}\} = S_{A_0 \cup B_0}$$

and

$$\{\{1,\ldots,n-1\},\{n,0\}\}=S_{A_0\cup x_0}$$

in view of  $2 \le i \le n - 1$ ,

$$0, i \in \{0\} \cup \{i, i+1, \dots, j-1\},\$$

and

$$1, n \in [n] - \{i, i+1, \dots, j-1\}.$$

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